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**RECEIVED**

JUN 22 2018

ELECTIONS  
SECRETARY OF STATE



Doc ID: 226306 Doc Date: 06/22/2018 # of Pgs: 007  
Type: Personal Financial Disclosure (Private)  
Cand/Comm: WALKER STAPLETON

**PERSONAL FINANCIAL DISCLOSURE STATEMENT**

*For annual filing requirements. File in accordance with the appropriate statutes.*

1-45-110(2)(a), 12-9-201(2)(h), 12-47.1-301(1)(g), 12-60-301(1)(g), 24-6-202, 24-51-207(4), 24-35-207(6), C.R.S.

*Personal financial disclosure statements filed for ballot access purposes must be filed within 10 days of filing a candidate affidavit. Judges running for retention, judges appointed to a court of record, and candidates running for Regional Transportation District are only required to file their PFD on or before the January 10 following such retention, appointment, or election.*

*A fine of \$50 per day will be assessed for late filings related to ballot access. Attach extra pages as necessary.  
[Art. XXVIII, Sec. 10 (2)(a), Colorado Constitution and 1-45-110, C.R.S.]*

Name of Official: Walker Stapleton

Office Held / Sought: Governor

Mailing Address (include city, state, and zip): P.O. Box 9865, Denver, CO 80209

Business Phone: \_\_\_\_\_ Residence Phone: \_\_\_\_\_

**Check the appropriate response(s)**

**I am filing this disclosure because:**

- I am filling a vacancy       I am seeking election to office       This is my annual filing

**I am filing as:**

Office Holder/Incumbent \_\_\_\_\_  
(OFFICE/DISTRICT NUMBER)

Candidate Governor \_\_\_\_\_  
(OFFICE/DISTRICT NUMBER)

State Board/Agency/Commission Member \_\_\_\_\_  
(OFFICE)

Judge       Supreme Court       District Court \_\_\_\_\_  
ENTER JUDICIAL DISTRICT

Court of Appeals       County Court \_\_\_\_\_  
ENTER COUNTY AND JUDICIAL DISTRICT

Other: \_\_\_\_\_  
(OFFICE)

**Income:**

List the names of any source(s) of income, including capital gains for yourself, your spouse, or minor child(ren) residing with you.

Source of Income (Name of Employer)	Recipient of Income (Individual receiving income - Self, Spouse, Minor Child(ren))
See attached Addendum	

**Assets:**

List the name of each business, insurance policy, or trust where there is a financial interest in excess of \$5000 for you, your spouse, or minor child(ren) residing with you.

Name of Business, Insurance Company, or Trust	Who is the person with this financial interest?
See attached Addendum	

List the LEGAL description (as shown on the books of the county assessor) of all real property in Colorado (including an option to buy) in which you have a direct or indirect interest with a fair market value in excess of \$5000. Property that must be listed includes residential, investment property, condominium, rental property and any mineral, water, coal, and rights to sand and gravel.

STREET ADDRESSES DO NOT SATISFY STATUTORY REQUIREMENTS.

Legal Description of Property	Owner of Record
See attached Addendum	

Name of Official: Walker Stapleton

Office Held / Sought: Governor

**Liabilities:**

List the name of each creditor for you, your spouse, or minor child(ren) living with you to whom is owed an amount in excess of \$1000 including the interest rate.

Name of Creditor	Interest Rate(%)	Person Liable for Debt
Bank of America	6.0%	Walker Stapleton
JP Morgan Chase	5.875%	Walker Stapleton, Wendy Reyes
JP Morgan Chase	3.0%	Walker Stapleton

List all offices, directorships, and fiduciary relationships held by you, your spouse, or minor child(ren) living with you.

Name of Organization	Position Held	Person Holding the Position
SonomaWest Holdings, Inc.	Chairman	Walker Stapleton
Harmes C. Fishback Foundation	Executive Director	Jennifer Stapleton

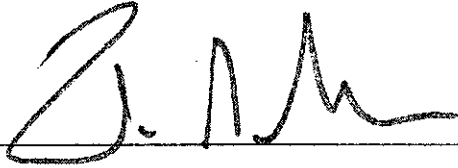
List the name of any person, firm, or organization that retains a person to lobby on its behalf if you share directly or indirectly in the compensation received for lobbying activity.

Name of Person, Firm, Corporation, or Organization Retaining Lobbyist
N/A

List any business with which you or your spouse are associated and which does business with or is regulated by the State of Colorado. (e.g., attorney, real estate, medical profession, etc.)

Name of Business	Nature of Business	Person Involved

Candidate/Incumbent  
Signature:



Date: Jun 21, 2018

**INCUMBENTS  
(FOR ANNUAL UPDATE PURPOSES ONLY)**

As an alternative to listing income above, you may file a copy of your federal income tax return, plus a certified statement of any investment not reflected in your income tax return. Please note that any information required on this form and not included in a federal income tax return must still be provided.

[Print Form](#)

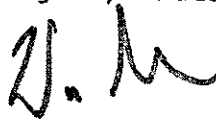
June 21, 2018

Walker Stapleton  
PO Box 9865  
Denver, CO 80209

Colorado Secretary of State,

RE: Candidate Financial Disclosure

For clarification, all assets listed in my amended candidate financial disclosure form filed today are currently owned by Rocky Mountain Trust LLC, as noted in my 2012 office holder financial disclosure and my May 25, 2017 letter to you. These assets are managed by the trustees of the trust.

A handwritten signature in black ink, appearing to read "W. Stapleton", written in a cursive style.

Walker Stapleton

**Addendum to Personal Financial Disclosure Statement**

for  
**Walker Stapleton**

**June 21, 2018**

**INCOME:**

<b><u>Source of Income</u></b>	<b><u>Recipient of Income</u></b>
Sonoma West Holdings	Walker Stapleton
Caley Square Ltd	Walker Stapleton
Castle Meadows TIC	Walker Stapleton
Denver Bank	Walker Stapleton
3822 Tennyson Street	Walker Stapleton
AVG	Walker Stapleton
Heads and Threads LLC	Walker Stapleton
Dayton Parts LLC	Walker Stapleton
Technology Venture Associates (TVA II, III, IV, VI, VII)	Walker Stapleton
Brightline Capital Partners	Walker Stapleton
Winston Partners Group	Walker Stapleton
Harmes C. Fishback Foundation	Jennifer Stapleton

**ASSETS:**

<b><u>Name of Business, Insurance Company or Trust</u></b>	<b><u>Beneficial Owner</u></b>
Snowmass Snowflake LLC	Walker Stapleton, Wendy Stapleton
Kroger CO	Walker Stapleton
Alleghany Corp	Walker Stapleton
Cisco Systems Inc	Walker Stapleton
InfoSpace Inc	Walker Stapleton
IPASS Inc	Walker Stapleton
Kansas City Life Insurance CO	Walker Stapleton
Metro PCS Inc	Walker Stapleton
United Health Group Inc	Walker Stapleton
Wells Fargo and CO	Walker Stapleton
Sonoma West Holdings	Walker Stapleton
Caley Square Ltd.	Walker Stapleton
Castle Meadows TIC	Walker Stapleton
Denver Bank	Walker Stapleton
AVG	Walker Stapleton
Heads and Threads LLC	Walker Stapleton

Dayton Parts LLC	Walker Stapleton
Technology Venture Associates (TVA II, III, IV, VI, VII)	Walker Stapleton
Brightline Capital Partners	Walker Stapleton
Winston Partners Group	Walker Stapleton

**LEGAL DESCRIPTION OF PROPERTIES:**

<b><u>Legal Description of Property</u></b>	<b><u>Owner of Record</u></b>
Lot 8, Block 2, Preserve at Greenwood Village No. 4	Walker Stapleton, Jennifer Stapleton
Lot 7, Wildridge Unit Two, Pitkin County	Walker Stapleton, Wendy Stapleton
Argyle Park TJ O'DONNELLS RES 02194 B5 L15 + N 61N of L16	Walker Stapleton
Lot 2, Clark Colony Tract 40 Amendment No. 1, except that portion of Lot 2 conveyed to Arapahoe County in Deed recorded October 3, 1984 in Book 4276 at Page 648 and that portion conveyed to the City of Greenwood Village in Deed recorded August 27, 1998 at Reception No. A8136007. County of Arapahoe	Walker Stapleton, Craig Stapleton, Wendy Stapleton, Katharine Stapleton, Benjamin Stapleton III, Benjamin Stapleton IV, Sarah Stapleton
That part of the SW 1/4 of Section 11, Township 8 South, Range 67 West of the 6th P.M., lying North and West of the Atchison, Topeka and Santa Fe Railroad right of way: The SE 1/4 of the SW 1/4 and the SE 1/4 of Section 10, Township 8 South, Range 67 West of the 6th P.M., County of Douglas	Walker Stapleton, Charles T Schulze, Peter B Schulze, Gabriel Schulze Trust, Elias Schulze Trust, Trust for Isiah Schulze, Craig Stapleton, Wendy Stapleton, Dorothy Stapleton, Benjamin Stapleton III, Benjamin Stapleton IV, Sarah Stapleton
Sect 15 Town 06 RNG 70 QTR NW Jefferson County	Walker Stapleton, Benjamin Stapleton IV